Babylon Industrial Development Agency

ROBERT STRICOFF
CHIEF EXECUTIVE OFFICER

	Applicant Contact And Basic Information	
Name: D'Add	ario & Company, Inc.	
Address: 595 Si	mith Street, Farmingdale, New York 11735	
P hone Num ber(s):		
Fax Number(s):		
E-mail Address:		
Website Address:	daddario.com	
Applicant EIN Number		
Application Date:	October, 2013	
1. Financia	al Assistance Requested (check applicable option(s)):	
	□ Bond Financing □ Straight Lease	
2. Officer	of Applicant serving as contact person:	
	Firm: CFO, Applicant General Counsel	

47 WEST MAIN STREET, SUITE 3, BABYLON, NY 117O2 ~ TEL: (631) 587-3679 FAX: (63f) 587-3675 WEBSITE: WWW.BABYLONIDA.ORG
E-MAIL: INFO@BABYLONIDA.ORG

3. Attorney of Applicant:

Firm: Forchelli, Curto, Deegan et al
Fax#: (866) 521-9680
Address: 333 Earle Ovington Blvd, Suite 1010, Uniondale, New York 11553

4. CFO/Accountant of Applicant:

Name:	Firm:	
Phone#:	Fax#:	
E-mail Address:	Address:	

5. Financial Advisor or Consultant (if applicable):

Name:	Firm:	
Phone #:	Fax#:	
E-mail Address:	Address:	

6.	Appl	icant is (check one of the	followin	g, as applicable):		
		General Partnership		Limited Partnership		C Corporation
	团	S Corporation		Limited Liability Company		Natural Person
		501 (c)(3) Organization		Other (specify);		
7.	Are a	any securities of Applican	t publicl	y traded?		
		Yes	X No			
8.	Appl	icant's state of incorporat	ion or for	mation: New York		
9.	Appl	icant's date of incorporati	on or for	mation: January 18, 19	73	
8.	State	s in which Applicant is q	alified to	o do business:		
9. attaci	Pleas hed he		ion of Ap	oplicant and nature of its bu	usines	s: See Rider

Please note: An "Affiliate" means any individual, corporation, partnership, joint venture, sole proprietorship, limited liability company, trust or other entity that controls, is controlled by or is under common control with the Applicant.

2.	Pleas	se check all that apply:		
		Applicant or an Affiliate is	the fee simple owner of the Project rea	alty.
	X	Applicant or an Affiliate is	not currently, but expects to be the fee	simple owner of the Project realty.
			not the owner of the Project realty, but uct of its business pursuant to a lease o	
			not the owner of the Project realty, bu ant of a material portion thereof for the agreement.	
			es fully describe Applicant and its relati d as follows (please provide copies of s	
13	or ot		SPE") that is owned and controlle oject realty, the SPE will be a	
		General Partnership S Corporation Natural Person	☐ Limited Partnership ☐ Limited Liability Company ☐ Other (specify):	C Corporation Not-for-profit 501 (c)(3) eatity

Name of SPE:
Address:
Phone Number(s):
Contact Person:
Affiliation of SPE to Applicant:
Owners of SPE and each respective ownership share:
SPE EIN Number:
Please note: If information required above for the SPE is unknown at time of Application submission, then please submit any missing information to the Babylon IDA as soon as it becomes available.

SUPPLEMENT TO ITEM NO. 14

540 Smith Street, Farmingdale, New York

There are no third-party tenants at 540 Smith Street.

595 Smith Street, Farmingdale, New York

There are no third-party tenants at 540 Smith Street.

590 Smith Street, Farmingdale, New York

Pursuant to the Contract of Sale with respect to 590 Smith Street, upon closing of the purchase of the Premises (currently expected to be on or about December 15, 2013), the Company, as landlord, with enter into a lease agreement with Breaktime Refreshments, Ltd, an affiliate of the current owner of the Property, as tenant, for a term of twelve (12) months from the date of closing for the lease of a portion of the Property constituting approximately 62% of the Property.

Long Island MMA Center, Inc. has an existing lease with the current owner of the Property. At the closing, Long Island MMA will enter into an amendment of the existing lease, which amendment shall provide that the tenant will surrender approximately 1,500 square feet of the approximately 10,900 square feet that constitutes its premises on or before September 1, 2014, so that the square footage to be occupied by such tenant shall be reduced to approximately 9,400 square feet.

14. Give the following information with respect to all proposed tenants and sub-tenants at the proposed project site. Provide information on an additional sheet if space is needed.

Company Name:	Phone	Affiliation with Applicant	SF & Floors (Percent of Occupancy)	Lease Expiration	Tenant Business
Long Island MMA Center, Inc.		None		8/31/17 + (5 year extension option)	Mixed martial arts studio
Breaktime Refreshments, Ltd.		None		One (1) year from Closing	Wholesale distributor of coffee products and equipment

(SEE INSERT)

Project Description and Financial Information.

Project Site 540 Smith Street

District:	0100	
Section:	006	
Block(s):	1.00	
Lot(s):		 -
Street address and zig code:	540 Smith Street	
Zoning		
Area (acreage):	2.26	
Square footage of existing building(s):	110,000	
Number of floors:		
Intended use(s) (e.g., office, retail, etc.):	manufacturing	

- 1. Please provide the following Project information:
 - a. Please provide a brief description of the proposed Project:
 - b. Indicate the estimated date for commencement of the Project:
 - c. Indicate the estimated date for the completion of the Project:
 - d. Will the Project require any special permits, variances or zoning approval?

□ Yes □ No

If Yes, please explain:

e. Is any governmental entity intended or proposed to be an occupant at the Project site?

☐ Yes ☐ No

If Yes, please explain:

Project Description and Financial Information

Project Site 595 Smith Street

District:	0100	
Section:	006	
Block(s):	1.00	
Lot(s):	12	
Street address and zig code:	595 Smith Street	
Zoning		
Area (acreage);	4.0	
Square footage of existing building(s):	47,000	
Number of floors:		
Intended use(s) (e.g., office, retail, etc.):	manufacturing	

- 1. Please provide the following Project information:
 - a. Please provide a brief description of the proposed Project:
 - b. Indicate the estimated date for commencement of the Project:
 - c. Indicate the estimated date for the completion of the Project:
 - d. Will the Project require any special permits, variances or zoning approval?

□ Yes □ No

If Yes, please explain:

e. Is any governmental entity intended or proposed to be an occupant at the Project site?

☐ Yes ☐ No

If Yes, please explain:

Project Description and Financial Information

Project Site 590 Smith Street, Farmingdale, New York

District: 0	1.00		
Section: 0	00,00		
pecton, o	.00.00		
Block(s): 0	01,00		
			·
Lot(s); 0	29,000		
Street addres	ss and zip code: 590 Smitt	1 Street, Farmingdale, New York	
Direction	State 25 dead. 570 bilde	I Dirock I at miniguate, 110W 10IK	
Zoning:			
Area (acrea			
	tge of existing building(s):	28,740 square feet	
Number of			
Intended use	e(s) (e.g., office, retail, etc.):	Commercial use	
tl c	y the Applicant of the exist ne Applicant from outside ommence upon expiration	sting building for the relocat New York State. It is antic	Project: The Project is the acquisition ion of a manufacturing operation by ipated that the relocation will Refreshments, which is expected to sing.
b	. Indicate the estimated	date for commencement of	the Project: Closing 12/13
C.		date for the completion of t	
d		e any special permits, varia	•
		Yes	⊠ _{No}
	If Yes, please expla	in:	
e	. Is any governmental e	ntity intended or proposed to	be an occupant at the Project site?
		☐ Yes	⊠ _{No}
	If Yes, please explain	in:	

2. Please complete the following summary of Project sources and uses:

PROJECT COSTS

PROJECT FUNDING

\$2,931,480	Bonds	
(Incl. above)	Loans	
	Affiliate/employee loans	
	Company funds	\$2,931,480
	Other (explain)	
· -		
\$2,931,480	Total Project Funding Sources	
	(Incl. above)	(Incl. above) Loans Affiliate/employee loans Company funds Other (explain)

Background Information on Applicant and Applicant's Affiliates

Please note: "Principal" means the following with respect to the Applicant and/or the SPE: all persons (entities or individuals) that control the Applicant and/or the SPE, and/or own more than 10 percent of either; all executive officers; all directors; and all members and general partners for, respectively, limited liability companies and partnerships.

Please answer the following questions and, if necessary, include additional information as an attachment:

1.	currently receiving, financial ass local, state or federal government	or Principal, ever received, or is any such person or entity istance or any other kind of discretionary benefit from any al entity or agency, or any public authority or public benefit ment corporation? See Rider annexed hereto
	X Yes	□ N₀
	If Yes, please provide deta	tils on an attached sheet. See Rider annexed hereto
	nefit corporations, and local deve	rnmental entities or agencies, public authorities or lopment corporations, shall be referred to as "Public
2.	at the Project site, obtained, or is	or Principal, or any existing or proposed occupant s any such person or entity in the process of ning, other assistance from the Babylon IDA?
	X Yes	□ No
	If Yes, please provide details	on an attached sheet. See Rider annexed hereto
3.	Has Applicant, or any Affiliate or obligation to a Public Entity?	Principal, ever defaulted on a loan or other
	☐ Yes	⊠No
	If Yes, please provide details on a	n attached sheet

Rider to: Background Information on Applicant and Applicant's Affiliates:

Items 1 and 2:

The following is a list of financial assistance or other discretionary benefit the Applicant and its affiliates are currently receiving or have previously received:

- A) Town of Babylon PILOT programs for 595 Smith Street and 540 Smith Street, Farmingdale, New York.
- B) Job retention grant from New York State Empire State Development.
- C) Industrial Effectiveness Program benefits from New York State Empire State Development and Long Island Power Authority.
- D) Advance New York benefits from New York State Department of Labor.
- E) Re-charge New York utility benefits from New York Power Authority.
- F) Approved to receive future incentives under New York State Empire State Development Excelsior Program.

4.	has real property in which Applicant, or Affiliate or Principal, holds or has ever held an ownership interest and/or controlling interest of 25 percent or more, now or ever been (i) the subject of foreclosure (including a deed in lieu of foreclosure), or (ii) in arrears with respect to any type of tax, assessment or other imposition?		
	☐ Yes	⊠ _{No}	
	If Yes, please provide deta	ils on an attached sheet.	
5.	already covered above (e.g.,	iliate or Principal, have any contingent fiabilities not judgment liens, lis, pendens, other liens, etc.)? Please ther loans taken in the ordinary course of business only if in	
	□ Yes	XI _{No}	
	If Yes, please provide details	s on an attached sheet.	

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6. List of major customers: See Rider annexed hereto

Company Name	Address	Contact	Phone

7. List major suppliers: See Rider annexed hereto

Company Name	Address	Contact	Phone

8. List unions (if applicable):

Company Name	Address	Contact	Phone
	·		- <u> </u>

MAJOR CUSTOMERS

GUITAR CENTER INCORPORATED

5795 Lindero Canyon Road

WestLake, CA 91362

Attn: Bambie Garcia

(818) 735-8888

MAJOR SUPPLIERS

MILLARD WIRE AND SPECIALTY STRIP CO. 449 Warwick Industrial Drive

Warwick, Rhode Island 02886

(401) 737-9330

KEN-TRON MANUFACTURING 610 Industrial Drive

P.O. Box 21250

Owensboro, Kentucky 42304

(800) 872-9336

FSP-ONE INC. 36 Taunton Street

Plainville, Massachusetts 02762

(508) 695-2822

LINDENMEYER MUNROE 115 Moonachie Avenue

Moonachie, New Jersey 07074

(201) 440-6491

9. List banks/current accounts:



10. List licensing authorities, if applicable: DMV commercial licenses

Company Name	Address	Contact	Phone
Company Ivanio	7 Iddi OSS	Contact	1 Hone
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	.		
			



I, the undersigned officer/member/partner of Applicant, on behalf of Applicant, hereby request, represent, certify, understand, acknowledge and agree as follows:

I request that this Application, together with all materials and data submitted in support of this Application (collectively, these "Application Materials"), be submitted for review to the Babylon IDA Board, in order to obtain from the Babylon IDA Board an expression of intent to provide the financial assistance for the Project. I understand that this expression of intent will take the form of an inducement resolution to be adopted by the Board.

I represent that I have the authority to sign these Application Materials on behalf of, and to bind, Applicant.

I certify to the best of my knowledge and belief that all of the information provided in these Application Materials is accurate, true and correct. I understand that an intentional misstatement of fact, or, whether intentional or not, a material misstatement of fact, or the providing of materially misleading information, or the omission of a material fact, may cause the Babylon IDA Board to reject the request made in the Application Materials.

I understand the following: that Applicant and Principals will be subject to a background check and actual or proposed subtenants may be subject to a background check; that the Babylon IDA may be required under SEQR to make a determination as to the Project's environmental impact and that in the event the Babylon IDA does determine that the Project will have an environmental impact, Applicant will be required to prepare, at its own expense, an environmental impact statement; that the decision of the Board to approve or to reject the request made in the Application Materials is a discretionary decision; that no Bonds may be issued (if Bonds are being requested) unless such Bonds are approved by the Supervisor of the Town; that under the New York State Freedom of Information Law ("FOIL"), the Babylon IDA may be required to disclose the Application Materials and the information contained therein; and that Applicant shall be entirely responsible and liable for the fees referred to in these Application Materials, including (in the case of Bonds) but not limited to payment of the New York State bond issuance fees (if applicable to the Bonds in question) based upon the aggregate principal amount of the Bonds.

I further understand and agree as follows:

That in the event the Application Materials are not submitted to the Babylon IDA Board for any reason including negative results obtained through the background check, and/or, with respect to Application Materials that are submitted to the Babylon IDA Board, in the event the Babylon IDA Board rejects same, then, under either of said circumstances, Applicant shall have no recourse against the Babylon IDA, or any directors, officers, employees or agents of the Babylon IDA, for the Application Fee, or for other expenses incurred by Applicant or other parties on behalf thereof, or for damages or specific performance; and that the Application Fee is under all circumstances (including but not limited to the ones just described and the ones described in the next succeeding paragraph) non-refundable; and

That if the Town of Babylon IDA Board adopts an inducement resolution with respect to the request made in the Application Materials, such adoption shall not be deemed a guaranty that the Town of Babylon IDA Board will adopt an authorizing resolution; or that the Babylon IDA will then provide the induced financial assistance; and

That Applicant shall indemnify the Babylon IDA for fees and disbursements incurred by bond counsel (in the case of a Bond transaction) or by project counsel (in the case of a straight-lease transaction); and that bond counsel or project counsel (as applicable) shall be a third-party beneficiary of this indemnity to the Babylon IDA regardless of whether financial assistance is granted and a bond closing or straight lease closing occurs and if no closing occurs, regardless of the reason thereafter and regardless of whether a closing was within or without the control of any of the Public Participants; and

That in the event Babylon IDA discloses the Application Materials in response to a request made pursuant to FOIL, Applicant hereby authorizes the Babylon IDA to make such disclosure and hereby releases the Babylon IDA from any claim or action that Applicant may have or might bring against the Babylon IDA, its directors, officers, agents, employees and attorneys, by reason of such disclosure; and that Applicant agrees to defend, indemnify and hold the Babylon IDA and its respective directors, officers, agents, employees and attorneys harmless (including without limitation for the cost of reasonable attorneys fees) against claims arising out of such disclosure as such claims may be made by any party including the Applicant, Affiliate, Owner or Principal, or by the officers, directors, employees and agents thereof.

I acknowledge and agree that the Babylon IDA reserves the right in its sole and absolute discretion to request additional information, waive any requirements set forth herein, and/or amend the form of this Application, to the full extent permitted by applicable law.

Requested, I	Represented,	Certified,	Acknowledged,	Understood	and Agreed	by A	pplicant,
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this 3.8 H.day of October 2012 2013

Name of Applicant: D'Addario & Company, Inc.

By: Printed Name of Signer: Taka D' Addaria, III

Title of Signer: Signature: President

Retail Questionnaire

1.			t consist of facilities or property that are or will be primarily goods to customers who personally visit the Project?
		Yes	XINo .
2.	reg pri	istered vendor under Article	"Yes," will the applicant or any other project occupant be a 28 of the Tax Law of the State of New York (the "Tax Law") I sale of tangible personal property" (as defined in Section 110
		□Yes	□No
3.			t consist of facilities or property that are or will be primarily ervices to customers who personally visit the Project?
		X Yes	□No
4.	wil	l be expended on such facili	uestion 3 is "Yes," what percentage of the cost of the Project ties or property primarily used in making retail sales of goods ersonally visit the Project? Percent 32.70%
5.			question 3 is "Yes," and the answer to question 4 is more than any of the following apply to the Project:
	a.	Will a not-for-profit corpo	ration operate the Project?
		☐ Yes	□ No
	b.	Is the Project likely to attroof Babylon?	act a significant number of visitors from outside the Town
		☐ Yes	□ No
	c.		for the contemplated financial assistance from the elated jobs outside the State of New York?
		☐ Yes	□No

d.	not, but for the Project, be a	e of the Project to make available goods or services that would reasonably accessible to Town of Babylon residents because essible retail trade facilities offering such goods or services?	
	☐ Yes	$\square_{ m No}$	
e.	economic development zo Law; or (b) a census tract area contiguous thereto) the rate of at least 20 percent f of its households receivin	in one of the following: (a) an area designated as an one pursuant to Article 18-B of the General Municipal or block numbering area (or census tract or block numbering at, according to the most recent census data, has (i) a poverty for the year to which the data relates, or at least 20 percent ag public assistance, and (ii) an unemployment rate of at wide unemployment rate for the year to which the data relates?	
	· 🗆 Yes	□ No	
6.	Project preserve permanent	bdivisions (c) through (e) of question 5 are "Yes," will the t, private sector jobs or increase the overall number of obs in the State of New York?	
	If "Yes", please furnish deta	ails in a separate attachment.	
7.	If the answers to any of sul furnish details in a separate	bdivisions (a) through (e) of question 5 are "Yes," please attachment.	

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Anti-Pirating Questionnaire

1.	. Will the completion of the Project result in the removal of a plant or facility of the Applicant, or of a proposed occupant of the Project, from an area in New York State (but outside of the Town of Babylon) to an area within the Town of Babylon?		
	☐ Yes	X_{N^0}	
	If "Yes," please provide the following in Address of the to-be-removed plant or t		
	Names of all current occupants of the to	-be-removed plant or facility:	
2.		result in the abandonment of one or more plants or proposed occupant of the Project, located in an area of of Babylon?	
	□Yes	⊠No	
	If "Yes," please provide the following it Addresses of the to-be-abandoned plant		
	Names of all current occupants of the to	-be-abandoned plants or facilities:	
3.		any way cause the removal and/or abandonment of York State (but outside of the Town of Babylon)?	
	☐ Yes	$\overline{\mathbf{x}}$ No	
	If "Yes," please provide all information	relevant to such future removal and/or abandonment:	

questions 4 and 5.
4. Is the Project reasonably necessary to preserve the competitive position of this Applicant, or of any proposed occupants of the Project, in its industry?

\[
\textstyle \text{Yes} \quad \textstyle \text{No}
\]

Solution

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If the answer to either question 1, 2 or 3, is "Yes," please continue and answer

THE UNDERSIGNED HEREBY CERTIFIES that the answers and information provided above, and in any statement attached hereto, are true and correct.

Name of Applicant: D'Addario & Company, Inc.
By Printed Name of Signer: John b' Addarto. III
Title of Signor Preside at
Signature: db 9 h
Date: October 28, 2013
N .

Employment Questionnaire

The Town of Babylon Industrial Development Agency requires all Applicants to fill out this Employment Questionnaire. As used in this Questionnaire, "Company" means the Applicant; "Project Location" means the project location which Applicant has identified in its Application; and "Tenant" means any person or entity to whom or to which Applicant intends to lease part or all of the Project Location. If Applicant is a real estate holding company that is an affiliate of an operating company and Applicant intends to lease the Project Location to such operating company, then the Applicant and the operating company must fill out separate copies of this Questionnaire.

Applicant Name:

D'Addario & Company, Inc.

Address:

595 Smith Street, Farmingdale, New York 11735

Phone Number(s):

(631) 439-3284

I.R.S. Employer ID Number:

Department of Labor. Registration Number:

Project Location: 590 Smith Street, Farmingdale, NY

1. How many employees does Applicant employ in the Town of Babylon at the time of Application submission?

Number Average Annual On average Salary (FT) Part -time Workers Hourly Rate (PT) Hours per week Full Time 688 \$40,000 30 Part Time 62 \$12.00

How many employees referred to in question 1 reside in the Town of Babylon at the time of Application submission?

Number Average Annual Salary (FT) Hourly On average Rate (PT) Part-time Workers Hours per week 30,000 Full Time 500 12.00 30 Part Time 46

3. How many employees does Applicant employ outside of the Town of Babylon but in New York State at the time of Application submission?					
Number		Average Annual Salary (FT) Hourly Rate (PT)	On average Part -time Workers Hours per week		
Full T Part T		\$30,000 \$	N/A		
	4. How many employees does the applicant employ at the project location (annual average) at the time of Application submission?				
	Number	Average Annual Salary (FT) Hourly Rate (PT)	On average Part -time Workers Hours per week		
Full Time	688				
Part Time	62				

5. Projected employment at Project Location for the Applicant on December 31 of each of the five calendar years following the completion of the Project.

Year	Number of	Average	Number of	Average	Average	Average	Total
	Full-time Employees	Annual Full-time Salary	Port-time Employees	Hourly Rate Part-time	Hours per week Part-time	Annual Part-time Salary	Estimated Annual Payroli
1	693	41,000	62	\$12.25	30	\$19,110	\$29,598,000
2	698	42,000	62	\$12.50	30	\$19,500	\$30,525,000
3	703	43,000	62	\$12.75	30	\$19,890	\$31,462,000
4	708	44,000	62	\$13.00	30	\$20,280	\$32,409,000
5	713	45,000	62	\$13.25	30	\$20,670	\$33,367,000

6. Describe the occupational composition of the workforce at the Project Location. Please describe pay levels and number of employees at each pay level.

COL ü B385864 B385864

EQUAL EMPLOYMENT OPPORTUNITY 2012 EMPLOYER INFORMATION REPORT

SINGLE ESTABLISHMENT REPORT - TYPE 1

SECTION B - COMPANY IDENTIFICATION

1. D'ADDARIO & COMPANY INC PO BOX 290 595 SMITH ST FARMINGDALE, NY 11735

SECTION D - EMPLOYMENT DATA

ř D'ADDARIO & COMPANY INC PO BOX 290 595 SMITH ST

NASSAU COUNTY

FARMINGDALE, NY 11735

SECTION C - TEST FOR FILING REQUIRIMENT

1-Y 2-N 3-N DUNS NO.:0\(\rightarrow\)8012434

SECTION E - ESTABLISHMENT INFORMATION

Manufacturing NAICS: 339992 Musical Instrument

SECTION F. REMARKS	PREVIOUS REPORT TOTAL 207 458 90 1 0 3 1 0 32	TOTAL 194 442 94 3 0 3 0 0 31	SERVICE WORKERS 6 1 0 0 0 0 0 0 0	RS	OPERATIVES 138 410 2 1 0 0 0 0 0	CRAFT WORKERS 15 0 13 2 0 0 0 0 0	SLIPPORT	SALES WORKERS 0 0 13 0 0 0 0 1	0 0 1 0 0 0 0 0	PROFESSIONALS 3 4 32 0 0 3 0 0 14	ALS & MGRS	CAS	MALE FEMALE WITTE AMERICAN OR AMERICAN OR OR AMERICAN OR ASIAN ALASKAN MORE WHITE AMERICAN ISLANDER NATIVE RACES	*************************	HISTANIC OR NOT-HISTANIC OR LATINO	THE PARTY OF THE P
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ON F- B	3	చ	0	0	0	0	0	0	0	ω	0	0		***		
PMARKS	1	0	0	0	0	0	0	0	0	0	0	0	AMERICAN INDIAN OR ALASKAN NATIVE	*	TON	
	0	0	0	0	0	0	0	0	0	0		0	TWO OR MORE RACES		-HISPANIC C	
	32	31	0	0	0	0	0.6	1	0	14	5 1	_			R LATINO	
	ယ	ω	0	0	-4	0	0	4-J.	٥		0	0	BLACK OR AFRICAN AMERICAN	******************		
	0	o	0	0	0	0	0	0	0	0	0	0	NATIVE HAWAJIAN OR PACIFIC ISLANDER	W.A.LE * * * *		
	2	N	0	0	0	0	0	0	0	-1	.	0	ASIAN	***		1
	0	0			_								AMERICAN INDIAN OR ALASKAN NATIVE	*		
			9		0	0	0	0	0	0	0	0	N TWO R OR MORE			
	0	0	0	٥	0		0		0	0	٥	0	<u> </u>			
	797	772	7	۵	552	30	67	33	_	58	26	<u>a</u>	TOTALS	CVERALL		

SECTION F - REMARKS

SECTION G - CERTIFICATION DATES OF PAYROLL PERIOD: 09/03/2012

THRU

09/09/2012

CERTIFYING OFFICIAL:
EEO-1 REPORT CONTACT PERSON:
EMAIL: Dana, Parente@daddario.com

RAY IRIZARRY DANA PARENTE

TITLE: DIRECTOR HUMAN RESOURCES TITLE: HUMAN RESOURCES MANAGER TELEPHONE NO: 6314393300

CERTIFIED DATE(EST): 09/13/2012 12:30 PM

- Please provide documentary evidence (Form Year end W-2, Form EEO-1 or external or internal payroll statements(last quarter or year end statements) supporting the answer provided in question numbers 1, 3 and 4. See EEO-1 attached
- 8. Does Applicant intend to employ new employees at the Project Location, and/or will Applicant transfer current employees from premises currently being used? Please provide details. The Applicant anticipates hiring new employees over the next five years. Some of these new employee positions may be the result of relocating out-of-state affiliated entities to the Project Location.

I authorize any private or governmental entity, including but not limited to the New York State Department of Labor ("DOL"), to release to the Babylon IDA and to its successors and assigns, any and all employment information under DOL's control that is pertinent to the Company and the Company's employees. This authorization shall remain in effect throughout the term of this Lease.

Name of Applicant: D'Addario & Company, Inc.

By Printed Name of Signer: John D'Addario, III

Title of Signer President

Signature: Date: Orthor 18, 1013

Attach to this Questionnaire your most recent four quarters of the NYS-45 "Quarterly Combined Withholding, Wage Reporting and Unemployment Insurance Return." Attach additional pages if necessary.

Labor Questionnaire

The Applicant and its Affiliates hereinafter will be referred to as the "Companies" or individually as a "Company." If any of the following questions applies to none of these Companies, answer "NONE"; but, for any question that does apply, be sure to specify to which of the Companies the answer is relevant. When the space provided for an answer is insufficient, provide the answer on a separate sheet of paper and attach that paper to this Questionnaire.

1.	List all of the labor union contracts and collective bargaining arrangements to which any of the Companies is currently a party:
	N/A
2,	Have any of the Companies during the current calendar year and the five calendar years preceding the current calendar year experienced labor unrest situations, including pending or threatened labor strikes, hand billing, consumer boycotts, mass demonstrations or other similar incidents?
	Yes No If Yes, please explain: On October 29, 2009, mechanics
	employed by D'Addario voted overwhelmingly against joining District 15 (International Association of Mechanists and Aerospace Workers).
3.	Have any of the Companies received any federal and/or state unfair labor practices complaints asserted during the current calendar year and the three calendar years preceding the current calendar year?
	Yes If Yes, please describe and explain current status of complaints:
	•
4,	Have any of the Companies received any federal and/or state unfair labor practices complaints asserted during the current calendar year and the three calendar years preceding the current calendar year?
	☐ Yes ☑ No If Yes, please explain:

5.	Are all employees of the Co	mpanies permitted to	work in the United States?
	Yes	□No If	No, please provide details on an attached sheet.
	What steps do the Compar	nies take as a matter c	f course to ascertain their employees' employment status?
	Do the Companies complete a Employment Eligibility Verific		d documentation related to this inquiry, such as
	X Yes	□ No	If No, please explain:
6.	or federal department, agency workers and/or their working	y or commission havi conditions and/or the	lew York State Department of Labor or any other local, state ng regulatory or oversight responsibility with respect to bir wages, inspected the premises of any Company or audited trent calendar year or during the three calendar years
	X Yes	□ No On	October 20, 2010, an employee who was
	injury. As a rosul classified as a "se nominal fine of \$2	ing a triple wind t, OSHA reviewe rious" violation. ,500 and immedi	ling string machine incurred a minor finger at the situation and assessed what was The Company subsequently agreed to pay a ately installed additional safety guarding on all been closed out with OSHA.
	entity and when the inspection	occurred. Briefly d	ribe the nature of the inspection, the inspecting governmental escribe the outcome of the inspection, including any reports adial or other requirements imposed upon the Company or
7.	Has any Company incurred, to an employee benefit plan,	or potentially incurre	ed, any liability (including withdrawal liability) with respect plan?
	□ Yes	Хио	
	If the answer to this question governmental entities that have	is "Yes," quantify the had regulatory contributed in the contributed i	te liability and briefly describe its nature and refer to any act with the Company in connection with the liability:
8.	preceding the current calenda	ar year, the subject o	ney been at any time during the three calendar years f any complaints, claims, proceedings or litigation arising comoting, compensating or general treatment of employees?
	☐ Yes	X No	
	If the answer to this is "Yes," princlude sexual harassment.	ovide details. When	answering this question, please consider "discrimination" to

ì

THE UNDERSIGNED HEREBY CERTIFIES that the answers and information provided above, and in any statement attached hereto, are true and correct.

Name of Applicant:

D'Addario & Company, Inc.

Printed Name of Signer: John D'Addario, TTT

Title of Signer: President

Signature:

Date: October 28, 2013

THE UNDERSIGNED HERRBY CERTIFIES that the answers and information provided above, and in any statement attached hereto, are true and correct.

ame of Applicant;	D'Addario &	Company, Inc		
		By:	Frinted Name of Signer: 10hn	D'Addario, II
1			Title of Signers President	
8)(
Signature:	· Jan	30.3		

in the matter of the Application of D' Addocio + Correspond, Inc. 595 Smith Street York 11735

FULL DISCLOSURE **AFFIDAVIT**

to the TOWN OF BABYLON

Industrial Development Agency (title of applicable Board(s)

STATE OF NEW YORK

COUNTY OF SUFFOLK

being duly swom, deposes and says

I. This affidavit is made by your deponent and intended to be filed with the above board of the Town of Bebylon to fulfill requirements of Article XXIII of the Building Zone Ordinance of the Town of Bubylon with respect to the above-entitled Application made or injended to be made affecting

subject with respect to the above-entitled Application made or interpretary located and described as follows:

595 Smith Street, Formingdak, MY

540 Smith Street, Formingdak, MY

590 Smith Street, Formingdak, MY

2. The name and address of the Applicant are as follows:

| Address of the Applicant are as follows:
| Address of the Applicant are as follows:
| The name and address of the person who has made and algoed this Application are as follows:

John D'Addariu III President J'Addri i + Compors, Inc. (see address above)

4. The names and addresses of all persons having any interest whatsoever in the property described in this Application direct or indirect, vested or contingent, regardless of whatever such person has an interest as a contract wonder, contract wonder, lessor, sub-lessor, contract lessor, lessee, sublessee, contract lessee, bolder of my beneficial interest, contract bolder of any beneficial interest, morigagor, mortgages, bolder of any encumbrance of lieu, contract holder of any encumbrance or lien, guarantor, assignce, agent or broker, or otherwise, and repartitess of whicher the interest arises as the result of advancing or leading finds in soonection with the acquisition or development of the property and regardless of whether the inixest may arise or be affected by the decision to be made by this Board, are as follows:

The names and addresses of all persons who will receive any benefit as a result of their work, offort or services in connection with this Application are as follows:

6. The names and addresses of all persons hereinabova set forth under paragraph number 4 or paragraph number 5 of this affidavit who also have my interest such as described in paragraph number 4 or in paragraph number 5 of this Affidavit, in any property within one mile of the property described in this Application, are as follows:

n/h

7. The names and addresses of all persons hereinabove set forth under paragraph number 4 or paragraph number 5 of this Affidavit who are officers or employees of the Town of Babyion, are as follows:

AIN

in detail, the nature and extent of the Interest in the property described in this Application, of all
officers or employees of the Town of Babylon set forth under paragraph number 7 of this
Affidavit, are as follows:

MIM

 The manes and addresses of all persons hereinabove set forth under paragraph 4 or paragraph 5 of this Affidavil, who are related to any officer or employee of the Town of Babyion are as follows:

NIA

10. In detail, the nature of the relationship between all persons set forth in paragraph number 9 of this Affidavil and any officers or employees of the Town of Babylon, are as follows:

MA

11. In detail, the nature and extent of the interest in the property described in the Application of all persons set forth under paragraph number 9 of this Affidavit, are as follows:

MIA

The undersigned affirms the truth and completeness of the foregoing under penalty of perjury:

Swyn belbro me this day 5th day of Wulember 2013

John D'Addans, III

Precipiant

Page 2 of2

CERTIFICATION FOR BOND

, (Name of Chief Executive Officer of company submitting application) deposes and says that he is the of , the convention named in the altached application: that he has read the foregoing application and knows the contents thereof; that the same is true to his knowledge.

Deponent further says that the reason this verification is being made by deponent and not by

is because the said Company is a corporation. The grounds of deponent's belief relative to all matters in the said application which are not stated upon his own personal knowledge, are investigations which deponent has caused to be made concerning the subject matter of this application as well as information acquired by deponent in the course of his duties as an officer of and from the books and papers of said corporation.

As an officer of said corporation (hereinafter referred to as the "applicant"). Deponent acknowledges and agrees that applicant shall be and is responsible for all costs incurred by the Town of Babylon Industrial Development Agency (hereinafter referred to as the "Agency") acting on behalf of the applicant in connection with this application and all matters relating to the issuance of bonds, if, for any reason whatsoever, the applicant fails to conclude or consummate necessary negotiations or fails to not within a reasonable or specified period of time to take reasonable, proper, or requested action or withdraws, abandons, cancels, or neglects the application or if the applicant is unable to find buyers willing to purchase the total bond issue required, then upon presentation of fuvelec, applicant shall pay to the Agency, its agents or assigns, all actual cost incurred with respect to the application, up to that date and time, including fees to general and bond counsel for the Agency. Upon successful conclusion and calc of the required bond issue, the applicant shall pay to the Agency as administrative fee set by the Agency not to exceed an amount equal to 1% of the total project cost financed by the bond issue, which amount is payable at closing. The Agency's Bond Counsal's fees, its general counsel's fees and the administrative fee may be considered as a cost of the project and included as party of any resultant bond issue.

hief Executive Officer of Company

John J. D'Addons

Precident

Swom to before me this_

lay of November, 2013

(Seal)

JOANN SPINNICCHIA NOTARY PUBLIC - STATE OF NEW YORK NO. 01SP6152748 QUALIFIED IN SUFFOLK COUNTY

MY COMMISSION EXPIRES SEPT. 18, 20

CERTIFICATION

(Name	of Chief Executive Officer of company submitting application) deposes and says that
to effect of	the corporation named in the attached application; that he has read the
foregoing application	and knows the contents thereof; that the same is true to his knowledge.

Deponent further says that the reason this verification is being made by deponent and not by

is because the said Company is a conjocation.

The grounds of deponent's belief relative to all matters in the said application which are not stated upon his own personal knowledge, are investigations which deponent has caused to be made concerning the subject matter of this application as well as information acquired by deponent in the course of his duties as an officer of and from the books and papers of said corporation.

As an officer of said corporation (hereinafter referred to as the "applicant"). Deponent acknowledges and agrees that applicant shall be and is responsible for all costs incurred by the Town of Babylon industrial Davelopment Agracy (hereinafter referred to as the "Agency") acting on helalf of the applicant in connection with this application and all matters relating to the lease back transaction. If, for any reason whatscover, the applicant fails to combude or consummate necessary negotiations or fails to act within a reasonable or specified period of time to take reasonable, proper, or requested action or withdraws, abactors, cancels, or neglects the application, then upon presentation of invoice, applicant shall pay to the Agency, its agents or assigns, all actual cost incurred with respect to the application to that date and time, including fees of project counsel and general counsel for the Agency. The applicant shall pay to the Agency an administrative fee set by the Agency not to exceed an amount equal to 1 % of the total project cost, which amount is payable at closing.

Sware to before me this

dovor November 2013

Øæ₽.

JOANN SPINNICCHIA
NOTARY PUBLIC - STATE OF NEW YORK
NO. 01SP6152748
QUALIFIED IN SUFFOLK COUNTY
MY COMMISSION EXPIRES SEPT. 18, 20

Short Environmental Assessment Form

Part 1 - Project Information (To be completed by Applicant or Project Sponeor)

Applicant/Sponsor: D'Addarto & Company, Inc.
. Project Name: 590 Smith Street, Farmingdale, New York
3. Project Location: 590 Smith Street, Farmingdale, New York 11735
ectivit ologo of or olivo. 024.00 o
4. Precise Location-Municipality/County: Farmingdale, Town of Babylon, County of Suffolk
590 Smith Street, Forming dal, MY
(fitteet address and med Jetest entities, prominent land marks, six, or provide med)
6. (a Proposed Action X New Bosonson Modification/Alteration
6. Describe Project Briefly: Pateuhal acquishm of Project
7.
8.
9. Amount of Land Affected (initially) 1.114 acres (utinately) acres
10. Will proposed action complies with existing zoning or other existing land use restrictions?
9. What is present land use in vicinity of project? Residential Industrial Commercial Agriculture Park / Forrest/ Open Space Other Describs:

Short Environmental Assessment Form

Part 1 - Project Information (To be completed by Applicant or Project Sponsor)

1. Applicant/Sponson D'Adderic & Company, inc.

	Project Name: 488 Smith Street, Farmingdale, New York
3.	540 Project Location: 590 Smith Street, Farmingdale, New York 11735
	SCTM# 0100.00b.01.00
4. Su	Precise Location-Municipality/County: Farmingdale, Town of Babylon, County of folk 540 Smith Street, Farming Lak, NY
	(Street address; and road intersections, prominent band modes, ole, or provide mean)
5.	Is Proposed Action New Secretor Medification Alterator NIR
6.	Describe Project Briefly: Retention of IDA Financial Assistance
7.	
8.	•
9.	Amount of Land Affected (Initially) 2 Peace (Initially) acres
10. res	Will proposed action compiles with existing zoning or other existing land use brictions?
9.	What is present land use in vicinity of project? Residentel Industrial Commercial Agriculture Park / Forneet/ Open Space Other Describe:

Short Environmental Assessment Form

Part 1 - Project information (To be completed by Applicant or Project Sponsor)

1.	Applicant/Sponson D'Addario & Company, Inc.
	595
•	Project Name: 599 Smith Street, Farmingdale, New York
3.	Project Location: 596 Smith Street, Farmingdale, New York 11735
_	1. See mooning and online and one to the second seems of the second second seems of the second second second seems of the second sec
	SCTM# 0100, 00 €.1.0 °
4,	Precise Location- Municipality/County: Farmingdais, Town of Babylon, County of
Su	595 Smith Street, Formingtale, NY
	-
	(Street address and road interpediens, prominent land morte, oic, or provide map)
5.	Is Proposed Action New Executor Modification/Alteration
₽.	Describe Project Briefly: Retention of 184 Financial Assistant
7.	
8,	•
9.	Amount of Land Affected (Initially) 4.0 acres (utimately) acres
10.	Will proposed action complies with existing zoning or other existing land use trictions?
183	Yes
9,	What is present land use in vicinity of project? Residential X*Industrial
	Commercial
	Agriculture
	Park / Forrest/ Open Space Other
	Describe: